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## BEFORE THE ARIZONA CORPORATION CUMMISSION RECEIVED

**COMMISSIONERS:** 

KRISTIN K. MAYES, Chairman

**GARY PIERCE** 

PAUL NEWMAN SANDRA D. KENNEDY

**BOB STUMP** 

2009 FEB 27 P 2: 17

AZ CORP COMMISSION DOCKET CONTROL

IN THE MATTER OF:

JEFFRIE HARPER (CRD #2863910) (aka Jeff Harper) and KATHLEEN JANICE HARPER, husband and wife;

DONALD ROBERT MATTSON, JR. (aka Rob Mattson) and JANE DOE MATTSON, husband and wife;

KNUCKLEBALL CAPITAL MANAGEMENT, LLC, an Arizona limited liability company;

Respondents.

DOCKET NO. S-20649A-09-0013

Arizona Corporation Commission DOCKETED

FEB **27** 2009



## PROCEDURAL ORDER

BY THE COMMISSION:

On January 15, 2009, the Securities division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Knuckleball Capital Management, LLC ("Knuckleball"), Jeffrie Harper, aka Jeff Harper, and Kathleen Janice Harper, husband and wife, and Donald Robert Mattson, Jr., aka Rob Mattson, and Jane Doe Mattson, husband and wife (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of investment contracts and/or commodity investment contracts.

The Respondents were duly served with a copy of the Notice.

On January 23, 2009, a request for hearing was filed by Respondent Donald Robert Mattson.

On January 30, 2009, a request for hearing was filed by Respondent Jeffrie Harper.

On February 3, 2009, by Procedural Order, a pre-hearing conference was scheduled on February 26, 2009.

On February 26, 2009, the Division and Respondents appeared with counsel to discuss issues

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1 arising from the Notice and stipulated to a status conference being scheduled after discussions 2 between the parties regarding a possible resolution of the proceeding. 3 IT IS THEREFORE ORDERED that a status conference shall be held on April 2, 2009, at 10:30 a.m., at the Commission's offices, 1200 West Washington Street, 2<sup>nd</sup> Floor Commissioners' 4 5 Conference Room, Phoenix, Arizona. 6 DATED this day of February, 2009. 7 8 9 10 MARC E. STERN ADMINISTRATIVE LAW JUDGE 11 Copies of the foregoing mailed/delivered 12 this 274 day of February, 2009 to: 13 Rebecca K. Setlow PERKINS COIE BROWN & BAIN PA 14 2901 North Central Avenue, Suite 2000 Phoenix, AZ 85012-2740 Attorneys for Jeffrie Harper and Kathleen Harper 16 Robert D. Mitchell Julie M. Beauregard 17 MITCHELL & ASSOCIATES 1850 North Central Avenue, Suite 1715 18 Phoenix, Arizona 85004-4634 Attorneys for Donald Robert Mattson, Jr. 19 Matt Neubert, Director 20 **Securities Division** ARIZONA CORPORATION COMMISSION 21 1300 West Washington Street Phoenix, Arizona 85007-2929 22 ARIZONA REPORTING SERVICE, INC. 23 2200 North Central Avenue, Suite 502 Phoenix, Arizona 85004-1481 24 25 By: 26 Secretary to Marc E. Stern 27

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